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<b>OFFICE OF PETITIONS TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/852,958
		Filing Date	May 10, 2001
		First Named Inventor	David A. Sirbasku
		Art Unit	1623
		Examiner Name	Alana M. Harris
Total Number of Pages in This Submission		Attorney Docket Number	1944-00201

JUN 14 2004

TECH CENTER 1800/2900

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  • Form PTO-1449 (w/copies of cited references) • Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Carol G. Mintz, PTO Reg. No. 38,561
Signature	<i>Carol G. Mintz</i>
Date	June 4, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: <b>Mail Stop Amendment</b> , Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or Printed Name	Fran Hampton		
Signature	<i>Fran Hampton</i>	Date	June 4, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **AUG 11 2004**

**OFFICE OF PETITIONS**

APPLICANT: David A. Sirbasku

GROUP ART UNIT: 1623

SERIAL NO.: 09/852,958

EXAMINER: Alana M. Harris

FILED: May 10, 2001

FOR: Compositions and Methods for  
Demonstrating Secretory Immune  
System Regulation of Steroid  
Hormone Responsive Cancer Cell  
Growth

**JUN 14 2004**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No.: 1944-00201

Date: June 4, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on September 11, 2002.

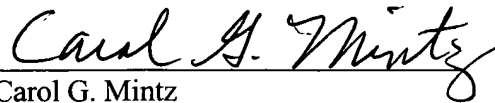
Pursuant to 37 C.F.R. § 1.98 (d), copies of certain patents, publications, pending U.S. application(s) or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by, the Office in the above-mentioned earlier U.S. Patent Application(s). The Information Disclosure Statement filed in the earlier application(s) complies with 37 C.F.R. § 1.98 (a)-(c).

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

Because a first Office Action on the merits has not yet issued in this case, Applicant believes that no fee is due for this submission. In the event that a fee under 37 C.F.R. §1.17(p) is owed, Applicant hereby authorizes the Commissioner to charge Deposit Account 03-2769 of Conley Rose, P.C. the amount of \$180 so that this Information Disclosure Statement may be considered.

Respectfully submitted,



Carol G. Mintz

Reg. No. 38,561

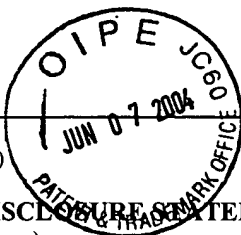
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(713) 238-8000

AGENT FOR APPLICANT

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Form PTO-1449 (Modified)

Atty. Docket No.  
1944-00201Serial No.  
09/852,958**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use several sheets if necessary)Applicant  
David A. Sirbasku  
Filing Date  
05/10/2001Group  
1623**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	6,200,547	03/13/2001	Volkonsky et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	A2	WO 92/13563	20/08/1992	RU				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	A3	International Search Report, PCT/US02/36632 dated 28 Jul 2003 (1 p.)						

**EXAMINER****DATE CONSIDERED****EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.